

DECLARATION

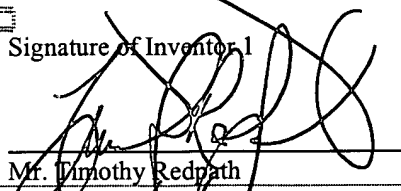
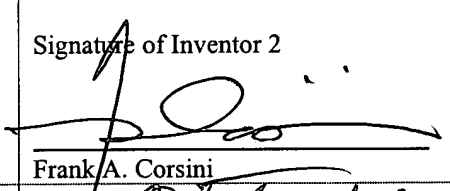
As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS** the specification of which is attached hereto.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Full Name of Inventor 1:	Last Name: REDPATH	First Name: TIMOTHY	Middle Name or Initial:	
Residence & Citizenship:	City: Tiburon	State/Foreign Country:	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 9 Linda Vista Road	City: Tiburon	State/Country:	Postal Code: 94920
Full Name of Inventor 2:	Last Name: CORSINI	First Name: FRANK	Middle Name or Initial: A.	
Residence & Citizenship:	City: Tiburon	State/Foreign Country:	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 2292 Paradise Drive	City: Tiburon	State/Country:	Postal Code: 94920

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2
	
Mr. Timothy Redpath	Frank A. Corsini
Date <u>10/20/2000</u>	Date <u>October 12 2000</u>

POWER OF ATTORNEY BY ASSIGNEE

My Blue Skies, Inc. is the Assignee of the invention entitled: **SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS**, the specification of which is attached hereto.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Philip H. Albert, Reg. No. 35,819
Horace Ng, Reg. No. 37,315
William E. Winters, Reg. No. 42,232

Send Correspondence to:

Philip H. Albert
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San Francisco, CA 94111-3834

Direct Telephone Calls to:
(Name, reg. no., tele. no.)

Philip H. Albert
Reg. No.: 35,819
(415) 576-0200

Date:

October 12, 2000

My Blue Skies, Inc.

By: [Signature]

(Signature)

Name:
Title:

FRANK A. CORSINI
Chairman

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) & 1.27(e)) - SMALL BUSINESS CONCERN

Applicant or Patentee: REDPATH et al.
Application or Patent No.: _____
Filed or Issued: Herewith
Title: SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS

I hereby declare that I am:

the owner of the small business concern identified below;
an official of the small business concern empowered to act on behalf of the concern identified below.

Name of Small Business Concern: My Blue Skies, Inc.
Address of Small Business Concern: 2292 Paradise Drive
Tiburon, CA 94920

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS by inventor(s) Timothy Redpath, Frank A. Corsini described in:

the specification filed herewith;
Application No. _____, filed 1 / 1 _____;
Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below" and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: _____
Address: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name: _____
Address: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Frank A. Corsini
Title of Person if Other than Owner: Chairman
Address of Person Signing: 2292 Paradise Drive
Tiburon, CA 94920

Signature: [Signature] Date: October 25, 2000

SF 1145032 v1

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: REDPATH et al.

Application No.: Unassigned

Filed: Herewith

For: SIMULATED TRADING SYSTEM WITH AWARDS TO PARTICIPANTS BASED ON PORTFOLIO NET PROFITS

My Blue Skies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: October 25, 2000

Name: Frank A. Corsini

Title: Chairman

Signature: _____

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